

**RESOLUTION
ROCK COUNTY BOARD OF SUPERVISORS**

Clayton Kalmon
Nursing Home Administrator
INITIATED BY



Clayton Kalmon
Nursing Home Administrator
DRAFTED BY

Health Services Committee
SUBMITTED BY

October 3, 2019
DATE DRAFTED

ESTABLISHING THE ROCK HAVEN COMPLIANCE AND ETHICS PROGRAM

1 **WHEREAS**, the Centers for Medicare and Medicaid Services (CMS) require, as part of the Phase 3
2 implementation of the Federal Requirements of Participation (RoP), Skilled Nursing Facilities to
3 implement an effective Compliance and Ethics Program; and,
4
5 **WHEREAS**, a Compliance and Ethics Program must be reasonably designed, implemented, and
6 enforced so that it generally will be effective in preventing and detecting fraud and abuse; and,
7
8 **WHEREAS**, Rock Haven recognizes the need to conduct business with honesty and integrity and in
9 compliance with all applicable federal and state laws; and,
10
11 **WHEREAS**, the Health Services Committee as the governing body for Rock Haven is ultimately
12 responsible for the oversight of the Compliance and Ethics Program; and,
13
14 **WHEREAS**, the requirement is expected to be implemented by November 28, 2019.
15
16 **NOW, THEREFORE, BE IT RESOLVED** that the Rock County Board of Supervisors duly
17 assembled this 24th day of October, 2019 does hereby approve the establishment of a Rock
18 Haven Compliance and Ethics Program.

Respectfully submitted,

HEALTH SERVICES COMMITTEE

Brenton Driscoll
Brenton Driscoll, Chair

Tom Brien
Tom Brien

Kevin Leavy
Kevin Leavy

Kathy Schulz
Kathy Schulz

COUNTY BOARD STAFF COMMITTEE

J. Russell Podzilni
J. Russell Podzilni, Chair

Mary Mawhinney
Mary Mawhinney, Vice Chair

Richard Bostwick
Richard Bostwick

Henry Brill
Henry Brill

Betty Jo Bussie
Betty Jo Bussie

Louis Peen
Louis Peen

Alan Sweeney
Alan Sweeney

Terry Thomas
Terry Thomas

Absent
Bob Yeomans

19-10B-346

TO ESTABLISH A ROCK HAVEN COMPLIANCE AND ETHICS PROGRAM

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FISCAL NOTE:

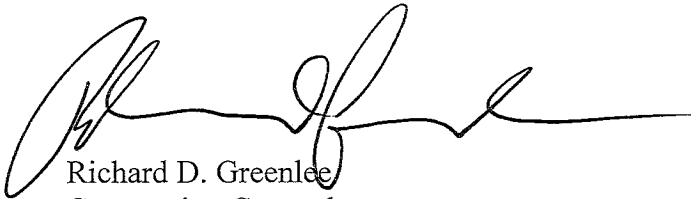
Minimal fiscal impact. The main costs will be in staff time.



Sherry Oja
Finance Director

LEGAL NOTE:

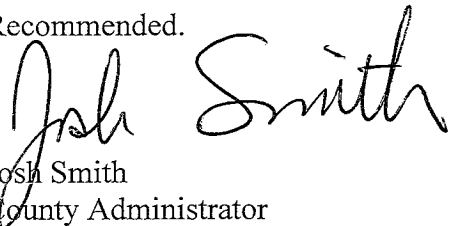
The County Board is authorized to take this action pursuant to §§ 59.01 & 59.51, Wis. Stats.



Richard D. Greenlee
Corporation Counsel

ADMINISTRATIVE NOTE:

Recommended.



Josh Smith
County Administrator

EXECUTIVE SUMMARY
ESTABLISHING A ROCK HAVEN COMPLIANCE AND ETHICS PROGRAM

Rock Haven is required to comply with various state and federal regulations in operating a skilled nursing home. The Centers for Medicare and Medicaid Services (CMS) require, as part of the Phase 3 implementation of the Federal Requirements of Participation (RoP), Skilled Nursing Facilities to implement an effective Compliance and Ethics Program.

A Compliance and Ethics Program must be reasonably designed, implemented, and enforced so that it generally will be effective in preventing and detecting fraud and abuse.

Rock Haven recognizes the need to conduct business with honesty and integrity and in compliance with all applicable federal and state laws

The Health Services Committee as the governing body for Rock Haven would be responsible for the oversight of the Compliance and Ethics Program.

The deadline for adopting a Compliance and Ethics Program is November 28, 2019.